Perlen der Informatik I

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Technische Universität München Winter 2021/2022

Overview

- Ianguage: English/German
- voluntary course
- lecture on Tuesday, in the slot 12 p.m. 2 p.m.
- https://www7.in.tum.de/~kretinsk/teaching/perlen.html
- Gödel, Escher, Bach: an Eternal Golden Braid by Douglas R. Hofstadter





ODEL, ESCHER, BACH: an Eternal Golden Braid OUGLAS R. HOFSTADTER sequenciatings on mink and mattice is the gold of Leois Canad





- Frederick the Great
- ► Leonhard Euler,..., J.S. Bach
- improvised 6-part fugue
- canons



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- canons
 - copies differing in time, pitch, speed, direction (upside down, crab)
 - isomorphic
 - canon endlessly rising in 6 steps "strange loop"

Escher



"Waterfall" 6-step endlessly falling loop

Escher



"Ascending and Descending" illusion by Roger Penrose



Penrose triangle Faculty of Informatics, Brno

Escher



"Drawing hands" his first strange loop





"Metamorphosis" copies of one theme

Brno

Epimenides paradox: "All Cretans are liars"

Brno

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- mathematical reasoning in exploring mathematical reasoning
- Incompleteness theorem:

All consistent axiomatic formulations of number theory include undecidable propositions.

strange loop in the proof

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 "This statement of number theory does not have any proof"

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▶ numbers $\stackrel{code}{\leftrightarrow}$ statements

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- Numbers ^{code} ↔ statements 215473077557

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numbers ^{code}→ statements
 215473077557 is in binary
 001100100010101100100011110100110101 read as ASCII
 2+2=5

homework:

34723379178930453204433293597543819411782291432109326918654063662

Mathematical logic

- different geometries, equally valid
- real world?
- proof?
- Russel's paradox
 - "ordinary" sets: $x \notin x$
 - "self-swallowing" sets: $x \in x$
 - R = set of all ordinary sets
- Grelling's paradox
 - self-descriptive adjectives ("pentasyllabic") vs non-self-descriptive
 - what about "non-self-descriptive"?
- self-reference

drawing hands

The following sentence is false. The preceding sentence is true.



- prohibition (Principia mathematica)
- types, metalanguage
- "In this lecture, I criticize the theory of types" cannot discuss the type theory
- David Hilbert: consistency and completeness

Computers

Babbage

The course through which I arrived at it was the most entangled and perplexed which probably ever occupied the human mind.

Ada Lovelace (daughter of Lord Byron) Mechanized intelligence "Eating its own tail" (altering own program)

- axiomatic reasoning, mechanical computation, psycholgy of intelligence
- Alan Turing ~ Gödel's counterpart in computation theory Halting problem is undecidable.

Can intelligent behaviour be programmed? Rules for inventing new rules...

Strange loops in the core of intelligence

materialism, de la Metrie: L'homme machine

Example (over alphabet M, I, U)

initial string ("axiom"):

► MI

 rules ("inference/production rules") to enlarge your collection (of "theorems")

requirement of formality: not outside the rules

- last letter $I \Rightarrow$ put U at the end
- $Mx \Rightarrow Mxx$ where x can be any string
- replace III by U
- drop UU

Homework: Can you produce/derive/prove MU?

Which rule to use? That's the art.

Theorems of MIU system

Axiom: MI Rules:

- 1. $xI \Rightarrow xIU$
- 2. $Mx \Rightarrow Mxx$
- 3. $xIIIy \Rightarrow xUy$
- 4. $xUUy \Rightarrow xy$

- ► human itellingece ⇒ notice properties of theorems
- machine can act unobservant, people cannot

Perfect test ("decision procedure") for theorems

- tree of all theorems?
- finite time!

- alphabet {p,q,-}
- axioms (axiom schema obvious decision procedure):

xp-qx- for any x composed from hyphens

production rules:

 $xpyqz \Rightarrow xpy-qz-$ for any x, y, z composed from hyphens

- only lengthening rules
 - \Rightarrow reduce to shorter ones (top-down)
 - \Rightarrow dovetailing longer axioms and rule application (bottom-up)
- hereditary properties of theorems

Isomorphism

- information-preserving transformation
- creates meaning
- interpretation + correspondence between true statements and interpreted theorems
- like cracking a code
- meaningless interpretations possible
- "well-formed" strings should produce "gramatical" sentences

- it seems the system cannot avoid taking on meaning
- is --p--p--q----- a theorem?
- subtraction
- does not add new additions, but we learn about nature of addition
- (is reality a formal system? is universe deterministic?)

- ▶ 12 × 12: counting vs proof
- basic properties to be believed, e.g. commutativity and associativity
- ▶ in reality not always: raindrop, cloud, trinity, languages in India
- ideal numbers
- counting cannot check Euclid's Theorem

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- basic properties to be believed, e.g. commutativity and associativity
- ▶ in reality not always: raindrop, cloud, trinity, languages in India
- ideal numbers
- counting cannot check Euclid's Theorem
 - reasoning
 - non-obvious result from obvious steps
 - belief in reasoning
 - overcoming infinity ("all" N)
 - patterned structure binding statements
 - can thinking be achieved by a formal system?

Escher: Liberation



1 3 7 12 18 26 35 45 56 ?

Escher: Mosaic II



- read, write, copy, erase, and compare symbols
- keep generated theorems

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Multiplication:

- axiom xt-qx for every hyphen-string x
- ▶ rule $x t y q z \Rightarrow x t y q z x$ for hyphen-strings x, y, z

Composites:

▶ rule x-ty-qz ⇒Cz for hyphen-strings x, y, z

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Primes:

▶ rule: Cx is not a theorem \Rightarrow Px for every hyphen-string x

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Primes:

- ▶ rule: Cx is not a theorem \Rightarrow Px for every hyphen-string x
- reasoning what cannot be generated is outside of system, requirement of formality
Negative definitions: figure and ground



Negative definitions: figure and ground

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Sets

- recursive: decision procedure
- recursively enumerable (r.e.): can be generated
- non-r.e.

Negative definitions: figure and ground



Characterize false statements

- negative space of theorems
- altered copy of theorems

Impossible!



- some negative spaces cannot be positive
- there are non-recursive r.e. sets
- \blacktriangleright \Rightarrow there are formal sytems with no decision procedure

Primes are recursive

- axiom xyDNDx for hyphen-strings x, y
- ► rules $xDNDy \Rightarrow xDNDxy$ $--DNDz \Rightarrow zDF-$ zDFx and $x-DNDz \Rightarrow zDFx$ $z-DFz \Rightarrow Pz-$

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- ► rules $xDNDy \Rightarrow xDNDxy$ $--DNDz \Rightarrow zDF-$ zDFx and $x-DNDz \Rightarrow zDFx$ $z-DFz \Rightarrow Pz-$

axiom P--

- if a set is generatable in increasing order then so is its complement
- lengthening interleaved with shortening causes Gödel's Theorem, Turing's Halting Problem etc.

Diagonalisation: Cantor

s = 10111010011...

Diagonalisation: Cantor

$$f: S \to \mathcal{P}(S)$$

C(1) []

 $R = \{x \mid x \notin x\}$

Then $R \in R \iff R \notin R$

Diagonalisation: Turing

f(i,j)		i					
		1	2	3	4	5	6
j	1	1	0	0	1	0	1
	2	0	0	0	1	0	0
	3	0	1	0	1	0	1
	4	1	0	0	1	0	0
	5	0	0	0	1	1	1
	6	1	1	0	0	1	0
	f(i,i)	1	0	0	1	1	0
	g(i)	U	0	0	U	U	0

program e: if f(i,i) == 0 then return 0 else loop forever

- ► $f(e, e) = 0 \implies g(e) = 0 \implies$ program *e* halts on input *e* $\implies f(e, e) = 1$
- ► $f(e, e) \neq 0 \implies g(e)$ undef. \implies program *e* doesn't halt on input *e* $\implies f(e, e) = 0$

", when preceded by itself in quotes, is unprovable.", when preceded by itself in quotes, is unprovable.

For any player, there is a record which it cannot play because it will cause its indirect destruction.



Bach - self-reference in the Art of the Fugue

Story isomorphism

Phonograph <= =>axiomatic system for number theory low-fidelity phonograph <= =>"weak" axiomatic system high-fidelity phonograph <= =>"strong" axiomatic system "Perfect" phonograph" <= => complete system for number theory' Blueprint" of phonograph <= => axioms and rules of formal system record <= => string of the formal system playable record <= => theorem of the axiomatic system unplayable record <= =>nontheorem of the axiomatic system sound <= =>true statement of number theory reproducible sound $\langle = = \rangle$ 'interpreted theorem of the system unreproducible sound $\leq = >$ true statement which isn't a theorem: song title <= =>implicit meaning of Gödel's string: "I Cannot Be Played "I Cannot Be Derived on Record Player X" in Formal System X"

Example: pq-system

- Axiom schema II: xp-qx for every hyphen-string x
- inconsistent with external world

Example: pq-system

- Axiom schema II: xp-qx for every hyphen-string x
- inconsistent with external world
- ▶ reinterpret: ≥
- consistency depends on interpretation
- consistency = Every theorem, when interpreted, becomes a true statement.

Example: non-Euclid geometry

- Elements
- rigor
- axiomatic system
- fifth postulate not a consequence
- Saccheri, Lambert, Bolyai, Lobachevskiy
- elliptical/spherical (no parallel) and hyperbolical (≥ 2 parallels) geometry (4 geometrical postlates remain, "absolute geometry" included)
- real points and lines vs. explicit definitions vs. implicit propositions

- internal consistency: theorems mutually compatible holds in some "imaginable" world
- logical, mathematical, physical, biological etc. consistency
- Is number theory/geometry the same in all conceivable worlds?
 - Peano arithmetic ~ absolute (core) geometry
 - number theories are the same for practical purposes
 - Gauss attmepted to measure angles between three mountains general relativity

more geometries in mathematics and even physics

Consistency

Relativity



Consistency: minimal condition for passive meaning

Completeness: maximal confirmation of passive meanings

" Every true statement which can be expressed in the notation of the system is a theorem"

- Example: 2+3+4=9 in pq
- Example: pq with Axiom schema II
 (1) add rules or (2) tighten the interpretation

Theorem

There are true arithmetical formulae unprovable in PA (or other consistent formal systems).

Gödel's proof (sketch)

• it is possible to construct a PA formula ρ such that

$$\mathsf{P}\!\mathsf{A} \quad \vdash \quad \rho \iff \neg \mathsf{Provable}([\rho])$$

i.e. " ρ says "I'm not provable"" is provable in PA

- by consistency of PA this is true in arithmetics
- if ¬ρ then Provable([ρ]), a contradiction if ρ then ¬Provable([ρ]) hence PA ⊬ ρ

Gödel's 1st incompleteness theorem

Recall:

- Accept := {i | M_i accepts i}
- Accept is r.e., but not recursive
- Accept is not r.e.

Alternative proof: Provable ⊊ Valid

- Provable is r.e. (for PA and similar)
- Provable ⊆ Valid by consistency
- ▶ we prove Valid is not r.e., hence \subsetneq
 - ▶ construct a program transforming $n \in \mathbb{N}$ into a formula φ :

 $\varphi \in Valid$ iff $n \in \overline{Accept}$

it computes the formula " M_n does not accept n"

- computation is a sequence of configurations (numbers)
- one can encode that a configuration c follows a given configuration d
- every finite sequence can be encoded by a formula β : For every n_1, \ldots, n_k there are $a, b \in \mathbb{N}$ such that

$$\beta(a, b, i, x)$$
 iff $x = n_i$

Let $\beta(a, b, i, x)$ be true iff $x = a \mod (1 + b(1 + i))$

expressible in simple arithmetics:

 $a \ge 0 \land b \ge 0 \land \exists k (k \ge 0 \land k * c \le a \land (k+1) * c > a \land x = a - (k * c))$

where c is a shortcut for (1 + b * (1 + i))

- for every a, b the predicate β induces a unique sequence, where the *i*th element is a mod (1 + b(1 + i))
- every finite sequence can be encoded by β for some a, b:

Theorem

For every n_1, \ldots, n_k there are $a, b \in \mathbb{N}$ such that

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Gödel's 1st incompleteness theorem

$$\beta(a, b, i, x) \text{ iff } x = a \mod (1 + b(1 + i))$$

Theorem

For every n_1, \ldots, n_k there are $a, b \in \mathbb{N}$ such that

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Proof.

- $b := (\max\{k, n_1, ..., n_k\})!$
- ▶ $p_i := 1 + b(1 + i)$ is $\geq n_i$ and are co-prime (gcd of each pair is 1)
- $c_i := \prod_{j \neq i} p_j$
- ▶ $\exists!$ $0 \leq d_i \leq p_i : c_i \cdot d_i \mod p_i = 1$
- $\mathbf{a} := \sum_{i=1}^{k} \mathbf{c}_i \cdot \mathbf{d}_i \cdot \mathbf{n}_i$
- hence n_i = a mod p_i

Recursion

Examples

- recursive definitions
 - in terms of simpler versions of itself
 - some part avoids self-reference (vs. circular definitions)
- pushdown systems
- music: tonic and pseudo-tonic
- language: verb at the end
- indirect recursion in Epimenides
- Fib(n)=Fib(n-1)+Fib(n-2)
- computer programs
- fractals
- Cantor set

Mandelbrot set



Mandelbrot set



Further examples

energies of electrons in a crystal in a magnetic field



Cantor set

Sidenote: Location of meaning

- is meaning of a message an inherent property of the message?
- meaning is part of an object to the extent that it acts upon intelligence in a predictable way
- levels of information

- frame message: "this bears information"
- outer message: "this is in Japanese"
- inner message: "this says ..."
- if all juke-boxes would play the same song on "A-5", it wouldn't be just a trigger but a meaning of "A-5"
- mass is intrinsic, weight is not; or yes, but at the cost of geocentricity

Propositional calculus: Definition

- purely typographic
- ▶ alphabet: <> P Q R ' ∧ ∨ ⊃~ []
- well-formed strings:
 - atoms: P, Q, R + adding primes
 - formation rules: if x and y are wel-formed then so are
 - $\sim x, < x \land y >, < x \lor y >, < x \supset y >$

rules

- joining: *x* and $y \Rightarrow \langle x \land y \rangle$
- separation: $\langle x \land y \rangle \Rightarrow x$ and y
- double-tilde: ~~ can be deleted or inserted
- contrapositive: $\langle x \supset y \rangle$ and $\langle y \supset x \rangle$ interchangable
- De Morgan: $\sim < x \lor y >$ and $< \sim x \land \sim y >$ interchangable
- Switcheroo: $\langle x \lor y \rangle$ and $\langle x \supset y \rangle$ interchangable
- no axioms

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- no axioms
- ▶ fantasy rule (Deduction Theorem): *y* derived from $x \Rightarrow \langle x \supset y \rangle$
- carry-over theorems into fantasy
- detachment (Modus Ponens): x and $\langle x \supset y \rangle \Rightarrow y$

decision procedure:

decision procedure: truth tables

simplicity, precision

 other versions (axiom schemata + detachment) extensions (valid propositional inferences, incompleteness/inconsistency only due to embedding system) decision procedure: truth tables

simplicity, precision

 other versions (axiom schemata + detachment) extensions (valid propositional inferences, incompleteness/inconsistency only due to embedding system)

Informal

- proof: normal thought
- simplicity: sounds right
- complexity: human language

Formal

- derivation: artificial, explicit
- simplicity: trivial
- astronomical size
- $\blacktriangleright < <\!\!P \land \sim \!\!P \! > \supset Q >$
- infection vs. mental break-down
- ► 1 1 + 1 1 + 1···
- relevant implication

Typographical number theory: Syntax

▶ natural-numbers theory $N \rightarrow TNT$

- 1. 2 is not a square.
- 2. 5 is a prime.
- 3. There are infinitely many primes.

Typographical number theory: Syntax

▶ natural-numbers theory $N \rightarrow TNT$

- 1. 2 is not a square.
- 2. 5 is a prime.
- 3. There are infinitely many primes.
- primitives: for all numbers, there exists a number, equals, greater than, times, plus, 0, 1, 2, ...

variables: a, b, a' terms: (a ⋅ b), (a + b), 0, S0, SS0 atoms: S0 + S0 = SS0 quantifiers: ∃b : (b + S0) = SS0, similarly ∀

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Puzzle: encode the following

- b is a power of 2
- b is a power of 10

$$\blacktriangleright \quad \neg \forall c : \exists b : (SS0 \cdot b) = c$$

$$\blacktriangleright \forall c : \sim \exists b : (SS0 \cdot b) = c$$

$$\blacktriangleright \forall c : \exists b : \sim (SS0 \cdot b) = c$$

$$\blacktriangleright ~ \neg \exists b : \forall c : (SS0 \cdot b) = c$$

►
$$\exists b : ~\forall c : (SS0 \cdot b) = c$$

$$\blacktriangleright \exists b: \forall c: \sim (SS0 \cdot b) = c$$

Propositional calculus: Derivations

Axioms:

1. $\forall a : \sim Sa = 0$ 2. $\forall a : (a + 0) = a$ 3. $\forall a : \forall b : (a + Sb) = S(a + b)$ 4. $\forall a : (a \cdot 0) = 0$ 5. $\forall a : \forall b : (a \cdot Sb) = ((a \cdot b) + a)$

Propositional calculus: Derivations

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Rules:

- 1. specification: $\forall u : x \Rightarrow x[u'/u]$ for any term u'
- 2. generalization: $x \Rightarrow \forall u : x$ for a free variable u
- 3. interchange: $\forall u :\sim \text{ and } \sim \exists u :$ are interchangeable
- 4. existence: $x[u'/u] \Rightarrow \exists u : x$
- 5. symmetry: $r = s \Rightarrow s = r$
- 6. transitivity: r = s and $s = t \Rightarrow r = t$
- 7. successorship: $r = t \Leftrightarrow Sr = St$

Propositional calculus: Derivations

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$$\forall a : ~Sa = 0$$

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Example: S0 + S0 = SS0

can derive

• can derive
$$\forall a : (0 + a) = a$$
?

can derive

• can derive
$$\forall a : (0 + a) = a$$
?

- nor its negation undecidable in TNT (like Euclid's 5th postulate in absolute geometry)
- ▶ rule of induction: *u* variable, $X{u}$ well-formed formula with *u* free, $X{0/u}$, $\forall u :< X{u} ⊃ X{Su/u} > \Rightarrow \forall u : X{u}$

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- are natural numbers a coherent construct??

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- are natural numbers a coherent construct?? Peano's axioms:
 - 1. zero is a number
 - 2. every number has a successor (which is a number)
 - 3. zero is not a successor of any number
 - 4. different numbers have different successors
 - 5. if zero has X and every number relays X to its successor, then all numbers have X

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 - 5. if zero has X and every number relays X to its successor, then all numbers have X
- want to convince of consistency of TNT using a weaker system
- Gödel's 2nd Theorem: Any system that is strong enough to prove TNT's consistency is at least as strong as TNT itself.

Recall: MIU system

- alphabet M, I, U
- initial string ("axiom"):
 - ► MI
- rules
 - 1. $xI \Rightarrow xIU$
 - **2.** $Mx \Rightarrow Mxx$
 - 3. $xIIIy \Rightarrow xUy$
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- Can you produce MU ?

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 - 3. $xIIIy \Rightarrow xUy$
 - 4. $xUUy \Rightarrow xy$
- Can you produce MU ?
- No:
 - I-count starts at 1 (not multiple of 3)
 - I-count is a multiple of 3 only it was before applying the most recent rule

Gödel numbering

- All problems about any formal system can be encoded into number theory!
- define arithmetization on symbols (Gödel number):
 - $\blacktriangleright M \leftrightarrow 3$
 - ▶ I ↔ 1
 - ► U ↔ 0
- extend it to all strings
 - 1. MI \leftrightarrow 31
 - **2.** MIU \leftrightarrow 310

Example: Rule 1

- 1. $xI \Rightarrow xIU$
- 2. $x1 \Rightarrow x10$
- 3. $x \Rightarrow 10 \cdot x$ for any $x \mod 10 = 1$

Typographical rules on numerals are actually arithmetical rules on numbers.

- Is MU a theorem of the MIU-system?
- ► Is 30 a MIU-number?

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Typographical rules on numerals are actually arithmetical rules on numbers.

- Is MU a theorem of the MIU-system?
- Is 30 a MIU-number?
- 1. "MU is a theorem" into number theory
- 2. number theory into TNT

1 Gödel-number TNT:

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Summary :

There is a string of TNT expressing a statement about numbers (interpretable as "I am not a theorem of TNT"). By reasoning outside of the system, we can show it is true. But still it is not a theorem of TNT (TNT says neither true nor false). Proof pairs:

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- recognizing is primitive recursive, hence there is a formula MIU-PP(a, a') expressing "a is a proof of a'"

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Substitution:

► SUB(*a*, *a*′, *a*′′) for replacing all free variables in *a* by *a*′ yields *a*′′

►
$$a = a \text{ with } 2/a \text{ yields } 2 = 2$$

 $SUB(\underbrace{S \cdots S}_{262 \, 111 \, 262 \times} 0/a, SS0/a', \underbrace{S \cdots S}_{123 \, 123 \, 666 \, 111 \, 123 \, 123 \, 666 \times} 0/a)$

Arithmoquining

- Quine ___ is one.: "is one" is one.
- Arithmoquine a = S0: $S \cdots S = S0$
- 262 111 123 666×

Arithmoquining

- Quine ___ is one.: "is one" is one.
- Arithmoquine a = S0: $\underbrace{S \cdots S}_{262 \text{ 111 123 666} \times} 0 = S0$
- AQ(a", a') abbreviation for SUB(a", a", a') (use the same number in two different ways: diagonalization+coding)
- Arithmoquinification of $a = S0: \underbrace{123\cdots 123}_{262\,111\,123\,666\times} 666\,111\,123\,666$
- now, quine a quine-mentioning sentence

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- TNT sentence with low-level interpretation has high-level interpretation (a sentence of meta-TNT)

Falsehood	<==>	nontheoremhood
quotation of a phrase	<==>	
preceding a predicate by a subject	<==>	definite term) into an open formula
preceding a predicate by a quoted phrase	<==>	substituting the Gödel number of a string into an open formula
preceding a predicate by itself, in quotes ("quining")	<==>	substituting the Gödel number of an open formula into the formula itself ("arithmoquining")
yields falsehood when quined (a predicate without a subject)	<==>	"uncle" of G" the(an open formula of TNT
"yields falsehood when quined" (the above predicate. quoted)	<==>	the number a (the Gödel number of the above open formula)
"yields falsehood when quined" yields falsehood when quined (complete sentence formed by quining the above predicate)	<==>	G itself (sentence of TNT formed by□substituting a into the uncle,□i.e., arithmoquining the uncle)
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Monk: Does a dog have Buddha-nature, or not? Jōshū: MU

Has a dog Buddha-nature? This is the most serious question of all. If you say yes or no, You lose your own Buddha-nature.

(Mumon on Jōshū's MU)

Consequences

- Gödels's Second Theorem
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 - 223 666 111 666×
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Gödels's Second Theorem

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- incomplete, then add G as axiom or its negation?

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\exists a : (a + a) = S0\exists a : Sa = 0\exists a : (a \cdot a) = SS0\exists a : S(a \cdot a) = 0
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- the proof of G is "infinitely" large (how large is i?)
- supernatural numbers
 - Heisenberg's uncertainty principle for sum and product
 - also fractions, reals, dx, dy: non-standard analysis
 - are they real? is $\sqrt{-1}$?

Last words

- computer vs. human?
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- self-design, choosing one's wants?
- Do words and thoughts follow formal rules?
- rules on the lowest level, e.g. neurons
- software rules change, hardware cannot

- self-modifying game
- Escher's hands
- symbols in brain (on neuronal substrate)
- ? washing hands, dialogue
- language, Klein bottle
- we feel self-programmed, but we are just shielded from neurons
- Watergate
- fact A, evidence B, meta-evidence C that B is evidence of A,... built-in hardware for what is evidence

Klein bottle



Subject vs Object

- old science
- prelude to modern phase: quantum mechanics, metamathematics, science methodology, AI

Use vs Mention

- symbols vs just be (Zen)
- John Cage: Imaginary Landscape No.4
- René Magritte: Common Sense, The Two Mysteries

Magritte: Common Sense



Magritte: The Two Mysteries



- limitative theorems (Gödel, Church, Turing, Tarski,...)
- imagine your own non-existence
- cannot be done fully, TNT does not contain its full meta-theory
- "self" necessary for free will
- strange loops necessary
- not non-determinism, but choice-maker: identification with a high-level description of the process when program is running
- Gödel, Escher, Bach